



Docket No.: 50767/P011US/10102697

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:
Jian Chen, et al.

Application No.: 10/044,317
Filed: January 11, 2002
Group Art Unit: 1614
Examiner: Not Yet Assigned

I hereby certify that this correspondence is being deposited with the U.S. Postal Service with sufficient postage as First Class Mail, in an envelope addressed to: Box Missing Parts, Commissioner for Patents, Washington, DC 20231, on the date shown below.

Dated: April 26, 2002

Signature: 
(Gail Miller)

For: SYSTEM AND METHOD FOR
MANIPULATING NANOTUBES

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

Box Missing Parts
Commissioner for Patents
Washington, DC 20231

Dear Sir:

In response to the Notice to File Missing Parts of Application – Filing Date Granted mailed March 15, 2002, Applicant respectfully submits two Declarations, a Power of Attorney, and an Information Disclosure Statement. Additionally, formal drawings are being submitted at this time.

A check in the amount of \$1,362.00 covering the fee set forth in 37 CFR 1.16(e) is enclosed. The Commissioner is hereby authorized to charge any deficiency in the fees filed, asserted to be filed or which should have been filed herewith (or with any paper hereafter filed in this application by this firm) to our Deposit Account No. 06-2380.

Dated: April 26, 2002

Respectfully submitted,

By 
Jody C. Bishop

Registration No.: 44,034
FULBRIGHT & JAWORSKI L.L.P.
2200 Ross Avenue, Suite 2800
Dallas, Texas 75201
(214) 855-8000
(214) 855-8200 (Fax)
Attorneys for Applicant



Please type a plus sign inside this box →

Approved for use through 10/31/2002. OMB 0651-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

PTO/SB/21 (08-00)

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

		Application Number	10/044,317
		Filing Date	January 11, 2002
		First Named Inventor	Jian Chen
		Group Art Unit	1614
		Examiner Name	Not Yet Assigned
Total Number of Pages in This Submission		Attorney Docket Number	50767/P011US/10102697

ENCLOSURES (check all that apply)

<input checked="" type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> After Allowance Communication to Group
<input checked="" type="checkbox"/> Fee Attached	<input checked="" type="checkbox"/> Drawing(s) (Formal)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment/Reply	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input checked="" type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below)
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	37 CFR 3.73(b) Statement Return Postcard 15 Cited References
<input checked="" type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Request for Refund	
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> CD, Number of CD(s) _____	
<input checked="" type="checkbox"/> Response to Missing Parts/ Incomplete Application	Remarks	
<input checked="" type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual Name	FULBRIGHT & JAWORSKI L.L.P. Jody C. Bishop
Signature	
Date	April 26, 2002

Transmittal

I hereby certify that this correspondence is being deposited with the U.S. Postal Service with sufficient postage as First Class Mail, in an envelope addressed to: Commissioner for Patents, Washington, DC 20231, on the date shown below.

Dated: April 26, 2002

Signature: (Gail Miller)



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FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$ 1,362.00)

Complete if Known

Application Number	10/044,317
Filing Date	January 11, 2002
First Named Inventor	Jian Chen
Examiner Name	Not Yet Assigned
Group Art Unit	1614
Attorney Docket No.	50767/P011US/10102697

METHOD OF PAYMENT (check all that apply)

Check Credit Card Money Order Other None
 Deposit Account

Deposit Account Number 06-2380

Deposit Account Name Fulbright & Jaworski L.L.P.

The Commissioner is hereby authorized to: (check all that apply)

Charge fee(s) indicated below Credit any overpayments
 Charge any additional fee(s) during the pendency of this application
 Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity	Small Entity	Fee Description	Fee Paid
Fee Code	Fee Code (\$)	Fee Code (\$)	Fee Code (\$)
105	130	205	65
			Surcharge - late filing fee or oath
127	50	227	25
			Surcharge - late provisional filing fee or cover sheet.
139	130	139	130
			Non-English specification
147	2,520	147	2,520
			For filing a request for ex parte reexamination
112	920*	112	920*
			Requesting publication of SIR prior to Examiner action
113	1,840*	113	1,840*
			Requesting publication of SIR after Examiner action
115	110	215	55
			Extension for reply within first month
116	400	216	200
			Extension for reply within second month
117	920	217	460
			Extension for reply within third month
118	1,440	218	720
			Extension for reply within fourth month
128	1,960	228	980
			Extension for reply within fifth month
119	320	219	160
			Notice of Appeal
120	320	220	160
			Filing a brief in support of an appeal
121	280	221	140
			Request for oral hearing
138	1,510	138	1,510
			Petition to institute a public use proceeding
140	110	240	55
			Petition to revive - unavoidable
141	1,280	241	640
			Petition to revive - unintentional
142	1,280	242	640
			Utility issue fee (or reissue)
143	460	243	230
			Design issue fee
144	620	244	310
			Plant issue fee
122	130	122	130
			Petitions to the Commissioner
123	50	123	50
			Processing fee under 37 CFR 1.17(q)
126	180	126	180
			Submission of Information Disclosure Stmt
581	40	581	40
			Recording each patent assignment per property (times number of properties)
146	740	246	370
			Filing a submission after final rejection (37 CFR 1.129(a))
149	740	249	370
			For each additional invention to be examined (37 CFR 1.129(b))
179	740	279	370
			Request for Continued Examination (RCE)
169	900	169	900
			Request for expedited examination of a design application
Other fee (specify)			

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ 65.00)

**or number previously paid, if greater; For Reissues, see above

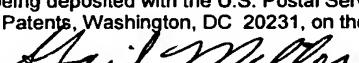
SUBMITTED BY

Name (Print/Type)	Jody C. Bishop	Registration No. (Attorney/Agent)	44,034	Telephone	(214) 855-8007
Signature				Date	April 26, 2002

Fee Transmittal

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Dated: April 26, 2002

Signature:  (Gail Miller)



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
 UNITED STATES PATENT AND TRADEMARK OFFICE
 WASHINGTON, D.C. 20231
 www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/044,317	01/11/2002	Jian Chen	50767/P011US/10102697

CONFIRMATION NO. 6768
 FORMALITIES LETTER

Jody C. Bishop
 FULBRIGHT & JAWORSKI L.L.P.
 Suite 2800
 2200 Ross Avenue
 Dallas, TX 75201


 OC000000007650000

Date Mailed: 03/15/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 370 to complete the basic filing fee for a small entity.
- Total additional claim fee(s) for this application is \$927.
 - \$675 for 75 total claims over 20.
 - \$252 for 6 independent claims over 3 .
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 1362.**

*A copy of this notice **MUST** be returned with the reply.*

E. D. Bishop
 Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

8888
 370.00
 252.00
 675.00
 65.00
 05/03/2002 10:00:11 00000005 10044317
 01 FC:201
 02 FC:202
 03 FC:203
 04 FC:205